



## **Customer Identification Program Notice**

### **Important Information About Procedures for Opening a New Account**

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each person who opens an account.

What this means for you as a client of Wilmington Capital Securities, LLC: When you open an account, we will ask for your name, address, date of birth and other information that will allow us to identify you.

When opening an account with the Firm, we will also require that a copy of the following documentation be provided:

- For Individual/Joint Accounts: Copy of a valid driver's license (unexpired) or a copy of a valid passport (unexpired) or other current government issued identification for US Citizens, and a copy of a valid passport for non US Citizens.
- For Corporate Accounts or other Entities: Documents demonstrating the existence of the entity such as Articles of Incorporation, a government issued business license, a partnership agreement or a trust agreement.

Should you have any questions, you may contact your registered representative directly or our Compliance Department at (516) 750-6200.

Rev: 5/30/2014