

***PLAN IN THE PRESENT –  
PREPARE FOR THE FUTURE***

Whether you are planning for retirement, to fund a college education, or simply manage your account, your Wilmington Capital Consultant can help you design and implement a plan to satisfy your own personal financial and strategic objectives.

- An investment plan that will serve as the foundation for your portfolio
- A retirement plan to assist you in preparing for your future
- An estate plan that will help you preserve, protect, and transfer your wealth

The sooner you begin planning, the sooner you can begin working to build and protect your assets for when you or the important people in your life need them.



**WILMINGTON CAPITAL  
SECURITIES, LLC**



**Executive Offices**  
600 Old Country Road  
Suite 200  
Garden City, New York 11530

**Member FINRA, MSRB, SIPC**

Voice: (516) 750-6200  
(866) 604-7248

Fax: (516) 750-6245

[www.wilmingtoncap.com](http://www.wilmingtoncap.com)  
[info@wilmingtoncap.com](mailto:info@wilmingtoncap.com)

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## FINANCIAL PRODUCTS

Wilmington Capital Securities, LLC offers investors a wide range of financial products to meet investors' financial and strategic objectives.

### Equities

- Listed and OTC
- Options

### Fixed Income

- Tax-Exempt Bonds
- Treasury Bonds
- Corporate Bonds
- Asset-Backed Bonds
- Certificates of Deposit
- Unit Investment Trusts
- Annuities

### Mutual Funds

- Exchange Traded Funds
- Tax-Exempt Funds
- Diversified Funds
- Government Bonds Funds
- Index Funds

### Retirement Products

- IRAs (Roth, SEP, Simple)
- 401K Plans
- Profit Sharing Plans (ESOPs)
- 529 Plans

## ABOUT WILMINGTON CAPITAL

Wilmington Capital Securities, LLC is a broker dealer and investment banking firm. We are committed to assisting our clients in the achievement of their financial goals.

Wilmington Capital has worked with clients -- just like you -- sharing with them our knowledge, expertise, and experience, combining practical advice and insightful strategies tailored to meet each individual's unique objectives.

We offer a broad spectrum of products and services, all offered through the guidance of our experienced professional financial consultants who share our commitment to providing the best in personalized, client-focused solutions.

Led by a team of senior executives with an aggregate of more than 75 years of finance experience, Wilmington Capital continually strives to achieve creative capitalization solutions for our corporate clientele and investors.

## INVESTOR SERVICES

Wilmington Capital Securities, LLC offers a complete suite of investor services designed to assist our clients in meeting the challenges of today's financial environment.

### Planning Services

- College Funding
- Estate Planning
- Financial Planning
- Retirement Planning
- Trust Services

### Trading Services

- Quality Execution
- Best execution under NASDAQ order handling rules
- Low-cost execution for block trades

### Value-Added, Specialized Services

- Processing of special situations
  - Sales pursuant to Rule 144
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- Money Market Accounts with cash sweep privileges
- Investment Access Accounts
- Online Internet access to you account 24/7
- An efficient, responsive, professional support staff